

# **Request for Proposals**

# Strengthening Systems-Involved Families with HOPE (SSIF) Training Project

Date of release: September 15, 2023

\*Applications are due by 5:00 pm Eastern Time on October 16, 2023\*

## **Summary Information**

Project Title: Strengthening Systems-Involved Families with HOPE (SSIF) Training Project Application Due Date and Time: October 16<sup>th</sup>, 2023 at 5:00 pm E.T. Selection Announcement Date: On or around October 30<sup>th</sup>, 2023 Source of Funding: Centers for Disease Control and Prevention NOA Award No.: 6 NU380T000306-05-05 Maximum Funding Amount: Up to \$65,000 per site Estimated Period of Performance: Upon execution of the contract – July 31, 2024 Point of Contact for Questions Regarding this Application: Injury and Violence Prevention Team (ivp@naccho.org)

### **Overview**

NACCHO is the voice of the approximately 2,800 local health departments (LHDs) across the country. These city, county, metropolitan, district, and Tribal departments work to protect and improve the health of all people and all communities. NACCHO provides resources to help LHD leaders develop public health policies and programs to ensure that communities have access to the vital programs and services people need to keep them protected from disease and disaster. Additionally, NACCHO advocates on behalf of LHDs with federal policymakers for adequate resources, appropriate public health legislation, and sensible policies to address the myriad of challenges facing communities.

## Background

Adverse childhood experiences, often referred to as ACEs, are preventable, potentially traumatic events that occur in childhood (0-17 years) such as neglect, experiencing or witnessing violence, and having a family member attempt or die by suicide. ACEs also include aspects of a child's environment that can undermine their sense of safety, stability, and bonding, such as growing up in a household with substance use, mental health conditions or instability due to parental separation or incarceration of a parent, sibling or other member of the household.<sup>1,2</sup> These examples do not comprise an exhaustive list of childhood adversity, as there are other potentially traumatic experiences that could impact health and wellbeing. ACEs often occur together, can result in toxic stress, and are associated with a wide range of adverse behavioral, health, and social outcomes, including substance use, depression, overweight/obesity, lower education and earnings potential, and chronic diseases such as heart disease and cancer.<sup>3</sup>

Families who are involved in the child welfare and justice systems may have been impacted by ACEs.<sup>4</sup> The presence of a substance use disorder (SUD) among parents and/or caregivers, which can contribute to involvement in both systems, has been associated with less responsive parenting, lower parenting self-efficacy, and lower rates of reunification success among child welfare-involved families.<sup>4,5,6</sup> In addition to impacts of ACEs at the individual level, systems involvement can destabilize family structures, limit employment and economic opportunities, and isolate individuals from their communities, further propagating the cycle of generational trauma.<sup>4</sup>

While ACEs can contribute to negative lifelong health and social consequences, positive childhood experiences (PCEs) also profoundly affect health and development, potentially preventing or buffering against toxic stress created by adverse experience.<sup>7</sup> The Healthy Outcomes from Positive Experiences (HOPE) <u>framework</u> builds on existing knowledge about ACEs and trauma-informed care

and aims to "shift how we see and talk about the positive experiences that support children's growth and development into healthy, resilient adults".<sup>8</sup> The <u>four building blocks of HOPE</u> identify key PCEs, and the sources of those experiences and opportunities. They include relationships; safe, equitable environments; social and civic engagement; and emotional growth. Similarly, the Strengthening Families (SF) <u>framework<sup>\*</sup></u> takes an evidence-informed, five factor approach to helping families increase their strengths and reduce their risk of abuse and neglect, which in turn facilitates healthy child development.<sup>9</sup> The five factors include parental resilience; social connections; knowledge of parenting and child development; concrete support in times of need; and social and emotional competence of children. Research supports a focus on the four building blocks of HOPE in work with youth and families and the use of instruments to measure the SF protective factors.<sup>10,11</sup>

Taken together, the SF and HOPE frameworks provide organizations with a comprehensive understanding that positive experiences affect health and other outcomes at both the individual and family levels. While both frameworks are motivated by a deep respect for parents and families, SF focuses on family-level factors and preventing child maltreatment, while HOPE focuses on the children's experiences and increasing their access to positive experiences. Many organizations already have some familiarity with SF, so combining its focus on family-level factors with the HOPE child-focused building blocks allows organizations to enhance existing, implemented models and practices and support strategies for promoting and providing access to PCEs. In this project, the two frameworks will be combined. Learning community calls and individual technical assistance will address topics such as organizational support of family strengths and resiliency, the provision of culturally resonant services, and interactions with families, through a lens that simultaneously considers both family- and child-level factors.

## **Project Overview**

The Strengthening Systems-Involved Families with HOPE (SSIF) training project aims to guide local health departments (LHDs) and their child welfare and justice system partners through the process of integrating the SF and HOPE frameworks into their work with children and families. The combination of these two frameworks for systems-involved families has been uniquely created for this funding opportunity. The SSIF project will assist organizations in shifting their work with families impacted by SUDs from a deficit- to an asset-based model to improve provider-family relationships, reduce biases, and improve family outcomes.

As part of this program, the selected participants will receive training on the HOPE and SF frameworks and individualized technical assistance (TA). Selected LHDs and their partners will be expected to:

- 1) Participate in a kick-off call
- 2) Participate in six (6) learning community calls built on the six standards of HOPE created by the HOPE National Resource Center:
  - a. Learn about the SF and HOPE frameworks
  - b. Support family strengths and resilience
  - c. Offer anti-racist and culturally resonant services
  - d. Create and amplify the Four Building Blocks of HOPE

<sup>&</sup>lt;sup>\*</sup> Please note that the CSSP Strengthening Families framework (SF) is different than the Strengthening Families Program (SFP), which is an evidence-based family skills training program for high-risk and general population families. This training grant funding opportunity is to learn the CSSP SF protective factors framework.

- e. Engage in continuous learning and improvement
- f. Implement HOPE-informed strategies
- 3) Complete pre- and post-session activities including:
  - a. Quality and process improvement activities
  - b. Organizational assessments
  - c. Implementation team development
  - d. Learning Community call evaluations
- 4) Participate in individualized TA calls
  - a. Bi-weekly sessions to further discuss content and develop implementation plans
  - b. Optional office hours with HOPE staff
  - c. Additional meetings upon request
- 5) Identify up to three (3) individuals to complete the training to become a HOPE facilitator
- 6) With support from TA providers, develop a focused implementation plan for the next year

Funding for this RFP is supported by the CDC cooperative agreement 6 NU38OT000306-05-05 titled *Strengthening Public Health Systems and Services through National Partnerships to Improve and Protect the Nation's Health.* 

### **Description and Availability of Funds**

With support from the Centers for Disease Control and Prevention (CDC), the National Association of County and City Health Officials (NACCHO) is pleased to offer a training funding opportunity for LHDs and their partnering child welfare and justice-related organizations to integrate the Strengthening Families (SF) and HOPE frameworks into their work with families and children. **Approximately five awards of up to \$65,000 each will be awarded.** LHDs who have established relationships with child welfare and/or justice-involved partners are encouraged to apply. Project proposals must include letters of commitment from at least one partner such as:

- Child Advocacy Centers
- Court-mandated treatment services
- Department of Social Services
- Department of Family Services
- Department of Juvenile Services
- Family-based services
- Foster care organizations
- Juvenile justice centers
- Detention centers
- Drug courts
- Jails
- Prisons

Partners will be expected to participate in the following project activities, described in greater detail below:

- Required: Project kick-of call
- Required: Pre-project assessment with LHD
- Required: Six (6) Learning Community calls
- Required: Seven (7) Individual Technical Assistance Calls
- Required: the HOPE certification process
- Optional: Staff registration to become HOPE facilitators

- Optional: End-of-project evaluation
- Optional: End-of-project report

Partners should consider the ways in which this work can be applied to working with families after completion of the training and certification.

Selections will be made on or around October 30<sup>th</sup>, and the project period shall begin upon receipt of the Notice of Award through July 31, 2024. Applications must be submitted using NACCHO's online application <u>portal</u>, no later than Monday October 16th<sup>r</sup> by 5:00pm Eastern Time. Late submissions will not be accepted. **This will be a fixed-price, deliverables-based contract.** All necessary information regarding the project and application process may be found in this Request for Proposals (RFP). Applicants may pose individual questions to NACCHO at any point during the application process by emailing the Injury Violence and Prevention Team at <u>ivp@naccho.org</u>.

## **Eligibility and Contract Terms**

This funding opportunity is open to all local health departments who have an established relationship with the local social services agency and/or justice system.

As part of the application, the contractor will be asked to verify that he/she has read NACCHO's standard contract language and has provided a copy to the individual with signing authority at your organization for advanced consideration. If you are an applicant from Florida or Texas, please contact NACCHO immediately for a copy of the standard contract for your state. Applicants should review all terms and conditions to determine whether they are appropriate for submitting a proposal.

NACCHO invites interested applicants to participate in an informational webinar on **Wednesday September 27<sup>th</sup> (10:00 - 11:00 AM ET)**. Register <u>here</u>. Participants are encouraged to come with any questions they may have regarding this opportunity. The webinar recording and an accompanying FAQ document covering all questions received to date will be posted on NACCHO's <u>OIVP webpage</u>.

# **Schedule of Events**

Event	Date/Time	
Informational Webinar	Wednesday, September 27 <sup>th</sup> , at 11:00 am	
	E.T.	
Application Submission Deadline	Monday, October 16 <sup>th</sup> , at 5:00 pm ET	
Award Notification Date	On or around October 30 <sup>th</sup>	
Kick Off Webinar	Thursday, November 9 at 2:00pm ET	
End of Period of Performance	Wednesday, July 31 <sup>st</sup> , 2024	

# **Project Goals and Technical Requirements**

NACCHO, CDC, and a team of consultants will serve as technical assistance providers for the selected sites. Over the 9-month contract period, sites will work with NACCHO and the consultants on the specific activities outlined below. A final SOW will be agreed upon after award acceptance by applicant.

#### Scope of Work

1. **Kick-off Call** – Sites will attend a kick-off call on Thursday, November 9<sup>th</sup> at 2:00pm ET with NACCHO, CDC, and consultants to meet other sites and review the project goals and expectations.

<u>Partner involvement</u>: At least one representative from each child-welfare and/or justice system partner.

2. **Pre-Project Assessment** – Sites will complete an assessment to identify and assess current strengths and needs at the beginning of the project period.

<u>Partner involvement</u>: At least one representative from each child-welfare and/or justice system partner.

3. Learning Community Calls – Sites will participate in six (6) learning community calls to receive training and group technical assistance on the SF and HOPE frameworks.

<u>Partner involvement</u>: At least one representative from each child-welfare and/or justice system partner.

4. Individualized Technical Assistance – Sites will participate in at least seven (7) monthly TA calls. The purpose of these calls will be to discuss information from the monthly learning community calls and develop an implementation plan to assist with integrating SF and HOPE into their work with families and children.

<u>Partner involvement</u>: At least one representative from each child-welfare and/or justice system partner.

- 5. HOPE Certification Sites will qualify for certification after they
  - a. Identify a HOPE community champion
  - b. Complete trainings and technical assistance
  - c. Complete Organizational Assessment
  - d. Complete implementation/action plan

<u>Partner involvement:</u> Each child-welfare and/or justice system partner will contribute to the identification of the community champion and completion of assessment and action planning activities. At least one representative from each child-welfare and/or justice system partner will attend Learning Community calls and Individualized TA.

6. **HOPE Facilitators** – Sites will each select up to three (3) individuals to complete the HOPE Facilitator Training. Individuals will choose from cohorts completing their virtual training between November 2023 and July 2024. Information about this training is available on the <u>Certification Programs page</u> of the HOPE National Resource Center website.

Partner involvement: Optional but encouraged.

7. End-of-Project Evaluation – Towards the end of the project, sites will complete an evaluation to share successes, challenges, and feedback on the project.

Partner involvement: Optional but encouraged.

8. **End-of-Project Report** – LHDs will complete a final project report that summarizes their project experience, implementation plan, and next steps.

Partner involvement: Optional but encouraged.

The following table outlines the tasks expected of the selected applicants:

Primary Task/Deliverable	Documentation	Estimated Timeline	Deliverable Cost	Paymen	t Schedule
Kick-Off Call	Kick-off attendance sheet		\$3,000		Invoice #1 due by or before January 31, 2024
Pre-Project Assessment	Completed assessment	November 2023 – December	\$3,000		
Learning Community (LC) Call	Complete LC Session 1 post-session worksheet and evaluation	2023	\$3,000		
LC Calls	Complete LC Session 2 post-session worksheet and evaluation		\$3,000	~28% of funding	
	Complete LC Session 3 post-session worksheet and evaluation		\$3,000		<b>Invoice #2</b> due by or before April 30, 2024
	Complete LC Session 4 post-session worksheet and evaluation	January 2024 – March 2024	\$3,000		
Individual Technical Assistance (TA) calls	Complete TA Session 1 post-session worksheet.		\$3,000		
	Complete TA Session 2 post-session worksheet.		\$3,000		
	Complete TA Session 3 post-session worksheet.		\$3,000		
LC Calls	Complete LC Session 5 post-session worksheet and evaluation		\$3,000	~37% of funding	
	Complete LC Session 6 post-session worksheet and evaluation		\$3,000		
	Complete LC Session 7 post-session worksheet and evaluation	April 2024 – June 2024	\$3,000		Invoice #3 due by or before June 30, 2024
Individual TA Calls	Complete TA Session 4 post-session worksheet.		\$3,000		
	Complete TA Session 5 post-session worksheet.		\$3,000		
	Complete TA Session 6 post-session worksheet.		\$3,000		

HOPE Facilitator Training	HOPE Facilitator certificates for up to three (3) team members		\$6,000		
Individual TA	Attend TA Session 7. Complete post-session worksheet.		\$3,000		
HOPE Certification	Issued HOPE certificated	July 2024	\$3,000	~22% of	Invoice #4
End of Project Activities	Completed end-of-project TA evaluation		\$3,000	funding	due by or before July 31, 2023
	Completed end-of-project report		\$5,000		51, 2025

#### Method of Payment

NACCHO will pay the selected sites in installments upon receipt of deliverables per the payment schedule identified in the Scope of Work above. Please note that NACCHO reserves the right to make changes to the payment timeline and payment schedule if necessary.

# **Application Response Format and Selection Criteria**

#### **Application Instructions**

The proposal narrative must include the following content:

- **Contact Information:** Please provide all necessary contact information as specified by the online application portal.
- Brief Narrative
  - Statement of Need (500-word limit, 20%)
    - Describe the jurisdiction you serve.
    - Provide information about the burden of ACEs and overdose in your community. Utilize local data collected by your LHD, partners, or other community entities (with sources cited) to describe:
      - Rates of fatal and non-fatal overdose
      - Statistics related to the prevalence of ACEs and/or PCEs. Proxy measures for childhood trauma or resilience are acceptable; please describe the source of your data.
  - Current Work (500-word limit, 30%)
    - Describe the programs and services your LHD is currently developing or offering related to ACEs and substance use among high-risk families.
      - Do any of these programs or services address the intersection of ACEs and substance use? If so, how?
      - Do any of these programs take a strengths-based approach or address positive childhood experiences? If so, how? Are they evidence-based?
    - Describe your staff's knowledge of ACEs, PCEs, and childhood trauma and impacts on child, adult, and family outcomes.
    - Please confirm that you are available to attend the Kick-Off Call on Thursday, November 9<sup>th</sup>, 2023.
  - Staffing Plan (500-word limit, 15%)
    - Provide an overview of the project team, including current full- and parttime LHD staff who will participate in project activities.
      - Please include names and relevant background of each team member who will be included.
      - Describe each team member's proposed roles within the project.
    - Resumes or curriculum vitae of the project team are welcome but not required.
  - Partners (500-word limit, 15%)
    - Identify the child welfare and/or justice-involved organization who will be your primary partner(s) in this project.
      - Describe the current relationships with the partners identified.
      - Describe their anticipated role in the project.
      - Share who will be representing the partner in this project and a brief biography of that outlines their experience in their expected role.
      - Please include a letter of commitment from each of these partners identified.

- Provide an overview of any additional internal and/or external partners you plan to incorporate into the project.
  - Describe your vision of the roles these partners will take, or the roles they have already agreed to take, in this project.
  - Letters of support from additional partners are welcome but not required.
- Interest in Pursuing Funding (500-word limit, 20%)
  - What interests or motivates you to apply for this opportunity?
  - What challenges have you or your partner(s) experienced working with systems-involved populations?
  - How would you like this project to benefit your community?
  - Please confirm that you have up to three (3) individuals from the LHD or partnering organization who will be available to participate in the HOPE facilitation training during the program.

#### Budget Proposal

- Refer to the <u>excel budget</u> and <u>budget narrative</u> templates. Each applicant may request up to \$ 65,000 to support project activities. Please note that the final budget amount cannot be changed after submission.
- A complete <u>budget</u> is required for complete application submissions but will not be included in the scoring criteria. Preference will not be given to applicants that submit budgets under the full eligible amount. Revisions to the budget may be requested as a condition of award, and a final budget must be approved to proceed with contract execution. Budgets will be reviewed and approved based on:
  - 1. Reasonableness of costs
  - 2. Cost allowability
  - 3. Sufficient staffing to support program activities
  - 4. Sufficient justification of costs"
- Items that may be included in the request for funds include, but are not limited to:
  - staff salaries and fringe benefits
  - subcontracts for participating partners
  - supplies
  - HOPE facilitator training for additional team members (i.e., beyond the three already included in the Scope of Work)
  - translation services
  - indirect costs to support the completion of the deliverables within the project period
- **Include a <u>budget narrative</u>** (one page or less) to explain each line-item and how the amounts were derived. See detailed guidance below.
  - For all applications, at least 15% of the budget must stay with the applying entity to ensure adequate resources for project management, participation in project activities, TA, and evaluation. The goal of 15% is to support internal capacity building for the organizations implementing these programs.
  - Personnel: List all staff positions by title (both current and proposed). Give the annual salary or hourly rate of each position, the percentage of each position's time devoted to the project, and the activities you anticipate these staff persons to conduct.
  - Fringe Benefits: Provide a breakdown of the amounts and percentages that comprise fringe benefit costs such as health insurance, etc. We require a

copy of your federally approved rate for our records. If your rate isn't federally approved, please provide a signed letter on letterhead that provides a detailed breakdown and allocation for expenses incorporated as the indirect rate in your budget.

- Supplies: Identify supplies in the detailed budget and the intended use for these supplies (i.e., what activities will the supplies support).
- Contractual: Identify each proposed contract and specify its purpose, estimated cost, and cost breakdown.

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- Activities supported directly by project funding will be constrained by allowable costs under CDC guidelines. Funds may not be used for equipment purchases. Please see Appendix B for a list of unallowable costs.
- Respond to the following three questions on the online application portal:
  - Do you have prior experience in Federal Contracting?
  - Have you completed a Single Audit?
  - When researching contractors, vendors, or supplies, was a reasonable inquiry used to ensure that contractors or vendors are compliant with Section 889 of the National Defense Authorization Act?

#### • Attachments

- Required: Complete and submit the Budget and Budget Narrative templates
- Required: Complete and submit the Vendor Information Form
- o Required: Complete and submit the Certification of Non-Debarment
- Required: Submit a W-9
- Required: Proof of active registration with SAM.gov in accordance with active DUNS number
- Required: Letter of commitment from participating partner(s)
- Federally approved indirect/fringe rate or a signed letter on letterhead that provides a detailed breakdown and allocation for expenses incorporated as the indirect rate in your budget (as applicable)
- o FFATA data collection form

#### Selection Process

Applications for this project will be evaluated by NACCHO and CDC. Incomplete applications will not be reviewed.

#### **Scoring and Considerations**

- Statement of Need (20%)
- Current Work (30%)
- Staffing (15%)
- Partners (15%)
- Interest in Pursuing Funding (20%)

Please note that submission of an application is a statement of acceptance of NACCHO's standard form contract (Appendix A). If any items cannot be accepted, these issues need to be resolved prior to submitting the application.

## **Submission Instructions**

Applicants for the Strengthening Systems-Involved Families with HOPE (SSIF) Training Project should:

- 1. Review the requirements and expectations outlined in this RFP.
- 2. Read NACCHO's standard contract (Appendix A) and provide a copy to the individual with signing authority for the health department/organization (or entity that would be contracting with NACCHO, e.g., city government), including any relevant financial or legal offices for advanced consideration. Selected LHDs must agree to the contract language and be able to sign and return a contract to NACCHO within approximately 30 days of receiving it. *Do not sign or send back the contract with the application*.
- 3. Submit the application to NACCHO by October 16<sup>th</sup> at 5:00PM Eastern Time. Submissions after this deadline will not be considered. Please submit your application using NACCHO's online portal. The online portal will provide a confirmation message; however, confirmation of receipt does not guarantee verification of completeness. Please note that to gain access to the submission portal, applicants will need to create a NACCHO.org account if they do not already have one.
- 4. The submitted application must include the following items to be deemed complete:
  - a. A brief narrative that addresses the six domains of Statement of Need, Current Work, Staffing, Partners, Proposed Approach, and Interest in Pursuing Funding.
  - b. Anticipated budget (template provided) and budget narrative.
  - c. All completed attachments.
  - d. The applicant must be registered with the System for Award Management (SAM) and its SAM number. For applicants without a SAM number, please note that it takes 7-10 business days to receive a number after registration. Please plan accordingly to ensure an active SAM number at the time of submission.

Applicants will be notified of their selection status by e-mail to the project point-of-contact on or around Monday, October 30<sup>th</sup>, 2023. Selected applicants will be required to confirm participation and agreement with the contract scope of work after receiving a notification. The designated point-of-contact for selection must be available to receive and respond to the notification in a timely manner.

Appendix A – Contractor Agreement

Appendix B – List of unallowable costs

## Appendix A

#### CONTRACTOR AGREEMENT

This Contractor Agreement is entered into, effective as of the date of the later signature indicated below, by and between the National Association of County and City Health Officials (hereinafter referred to as "NACCHO"), with its principal place of business at 1201 (I) Eye Street NW 4th Fl., Washington, DC 20005, and [insert name of Contractor] (hereinafter referred to as "Contractor"), with its principal place of business at [insert mailing address of Contractor].

WHEREAS, NACCHO wishes to hire Contractor to provide certain goods and/or services to NACCHO;

WHEREAS, Contractor wishes to provide such goods and/or services to NACCHO;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties, intending to be legally bound, do hereby agree as follows:

#### ARTICLE I: SPECIAL PROVISIONS

1. PURPOSE OF AGREEMENT: Contractor agrees to provide the goods and/or services to NACCHO to enhance the programmatic activities of \_\_\_\_\_ GRANT # \_\_\_\_, CFDA # \_\_\_\_\_, as described in Attachment I. The terms of Attachment I shall be incorporated into this Agreement as if fully set forth herein. Contractor shall act at all times in a professional manner consistent with the standards of the industry.

2. TERM OF AGREEMENT: The term of the Agreement shall begin on (insert date) and shall continue in effect until (insert date), unless earlier terminated in accordance with the terms herein. Expiration of the term or termination of this Agreement shall not extinguish any rights or obligations of the parties that have accrued prior thereto. The term of this Agreement may be extended by mutual agreement of the parties.

3. PAYMENT FOR SERVICES: In consideration for professional services to be performed, NACCHO agrees to pay Contractor an amount not to exceed \$ #####.00 (enter amount to be paid, either as a flat rate or hourly rate. You should also insert here the time schedule on which the consultant will be paid. All payments will be made within 30 days of receipt of invoice(s) from Contractor and following approval by NACCHO for approved services, as outlined on Attachment I. Three invoices must be submitted as follows:

Invoice No. Amount Deliverable Due date

Invoice I

Invoice II

Invoice III

(insert time increment). (May be "monthly" or after completion of specific activities, etc. The fewer payment invoices to process the better and the more you can pay later the better!).

NACCHO award number must be included on all invoices. Unless otherwise expressly stated in this Agreement, all amounts specified in, and all payments to be made under, this Agreement shall be in United States Dollars. The parties agree that payment method shall be made by check, via postage-paid first class mail, at the address for the giving of notices as set forth in Section 23 of this

Agreement. Any changes of payment method would require a modification signed by both parties. The final invoice must be received by NACCHO no later than 15 days after the end date of the Agreement. Contractor will be given an opportunity to revise as needed but the final revised invoice must be received no later than 30 days after the end date of the Agreement. NACCHO will not accept any invoices past 30 days of the end date of the Agreement.

#### ARTICLE II: GENERAL PROVISIONS

1. INDEPENDENT CONTRACTOR: Contractor shall act as an independent contractor, and Contractor shall not be entitled to any benefits to which NACCHO employees may be entitled.

2. PAYMENT OF TAXES AND OTHER LEVIES: Contractor shall be exclusively responsible for reporting and payment of all income tax payments, unemployment insurance, worker's compensation insurance, social security obligations, and similar taxes and levies.

3. LIABILITY: All liability to third parties, loss, or damage as a result of claims, demands, costs, or judgments arising out of activities, such as direct service delivery, to be carried out by the Contractor in the performance of this agreement shall be the responsibility of the Contractor, and not the responsibility of NACCHO, if the liability, loss, or damage is caused by, or arises out of, the actions of failure to act on the part of the Contractor, any subcontractor, anyone directly or indirectly employed by the Contractor.

All liability to third parties, loss, or damage as result of claims, demands, costs, or judgments arising out of activities, such as the provision of policy and procedural direction, to be carried out by NACCHO in the performance of this agreement shall be the responsibility of NACCHO, and not the responsibility of the Contractor, if the liability, loss, or damage is caused by, or arises out of, the action or failure to act on the part of any NACCHO employee.

In the event that liability to third parties, loss, or damage arises as a result of activities conducted jointly by the Contractor and NACCHO in fulfillment of their responsibilities under this agreement, such liability, loss, or damage shall be borne by the Contractor and NACCHO in relation to each party's responsibilities under these joint activities.

4. REVISIONS AND AMENDMENTS: Any revisions or amendments to this Agreement must be made in writing and signed by both parties.

5. ASSIGNMENT: Without prior written consent of NACCHO, Contractor may not assign this Agreement nor delegate any duties herein.

6. CONTINGENCY CLAUSE: This Agreement is subject to the terms of any agreement between NACCHO and its Primary Funder and in particular may be terminated by NACCHO without penalty or further obligation if the Primary Funder terminates, suspends or materially reduces its funding for any reason. Additionally, the payment obligations of NACCHO under this Agreement are subject to the timely fulfillment by the Primary Funder of its funding obligations to NACCHO.

7. INTERFERING CONDITIONS: Contractor shall promptly and fully notify NACCHO of any condition that interferes with, or threatens to interfere with, the successful carrying out of Contractor's duties and responsibilities under this Agreement, or the accomplishment of the purposes thereof. Such notice shall not relieve Contractor of said duties and responsibilities under this Agreement.

8. OWNERSHIP OF MATERIALS: Contractor hereby transfers and assigns to NACCHO all right, title and interest (including copyright rights) in and to all materials created or developed by Contractor pursuant to this Agreement, including, without limitation, reports, summaries, articles, pictures and art (collectively, the "Materials") (subject to any licensed third-party rights retained therein). Contractor shall inform NACCHO in writing of any third-party rights retained within the Materials and the terms of all license agreements to use any materials owned by others. Contractor understands and agrees that Contractor shall retain no rights to the Materials and shall assist NACCHO, upon reasonable request, with respect to the protection and/or registrability of the Materials. Contractor represents and warrants that, unless otherwise stated to NACCHO in writing, the Materials shall be original works and shall not infringe or violate the rights of any third party or violate any law. The obligations of this paragraph are subject to any applicable requirements of the Federal funding agency.

9. RESOLUTION OF DISPUTES: The parties shall use their best, good faith efforts to cooperatively resolve disputes and problems that arise in connection with this Agreement. Both parties will make a good faith effort to continue without delay to carry out their respective responsibilities under the Agreement while attempting to resolve the dispute under this section. If a dispute arises between the parties that cannot be resolved by direct negotiation, the dispute shall be submitted to a dispute board for a nonbinding determination. Members of the dispute board shall be the Director or Chief Executive Officer of the Contractor, the Chief Executive Officer of NACCHO, and the Senior Staff of NACCHO responsible for this Agreement. The costs of the dispute board shall be paid by the Contractor and NACCHO in relation to the actual costs incurred by each of the parties. The dispute board shall timely review the facts, Agreement terms and applicable law and rules, and make its determination. If such efforts fail to resolve the differences, the disputes will be submitted to arbitration in the District of Columbia before a single arbitrator in accordance with the then current rules of the American Arbitration Association. The arbitration award shall be final and binding upon the parties and judgment may be entered in any court of competent jurisdiction.

10. TERMINATION: Either party may terminate this Agreement upon at least fifteen (15) days prior written notice to the other party. NACCHO will pay Contractor for services rendered through the date of termination.

11. ENTIRE AGREEMENT: This Agreement contains all agreements, representations, and understandings of the parties regarding the subject matter hereof and supersedes and replaces any and all previous understandings, commitments, or agreements, whether oral or written, regarding such subject matter.

12. PARTIAL INVALIDITY: If any part, term, or provision of this Agreement shall be held void, illegal, unenforceable, or in conflict with any law, such part, term or provision shall be restated in accordance with applicable law to best reflect the intentions of the parties and the remaining portions or provisions shall remain in full force and effect and shall not be affected.

13. GOVERNING LAW: This Agreement shall be governed by and construed in accordance with the laws of the District of Columbia (without regard to its conflict of law's provisions).

14. ADDITIONAL FUNDING: Unless prior written authorization is received from NACCHO, no additional funds will be allocated to this project for work performed beyond the scope specified or time frame cited in this Agreement.

15. REMEDIES FOR MISTAKES: If work that is prepared by the Contractor contains errors or misinformation, the Contractor will correct error(s) within five business days. The Contractor will not charge NACCHO for the time it takes to rectify the situation.

16. COMPLIANCE WITH FEDERAL LAWS AND REGULATIONS: Contractor's use of funds under this Agreement is subject to the directives of and full compliance with 2 CFR Part 200 (Uniform Administrative Requirements, Costs Principles, and Audit Requirements for Federal Awards), and 45 C.F.R. Part 75 (Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards), It is the Contractor's responsibility to understand and comply with all requirements set forth therein.

17. EQUAL EMPLOYMENT OPPORTUNITY: Pursuant to 2 CFR 200 Subpart D , Contractor will comply with E.O. 11246, "Equal Employment Opportunity," as amended by E.O. 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," and as supplemented by regulations at 41 C.F.R. part 60, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor."

18. DEBARRED OR SUSPENDED CONTRACTORS: Pursuant to 2 CFR 200 Subpart C, Contractor will execute no subcontract with parties listed on the General Services Administration's List of Parties Excluded from Federal Procurement or Nonprocurement Programs in accordance with E.O.s 12549 and 12689, "Debarment and Suspension."

19. LOBBYING RESTRICTIONS AND DISCLOSURES: Pursuant to 2 CFR 200 Subpart E, Contractor will certify to NACCHO using the required form that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Contractor will also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award.

20. COMPLIANCE WITH FEDERAL ENVIRONMENTAL REGULATIONS: Pursuant to 2 CFR 200 Subpart F, Contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251 et seq.).

21. WHISTLEBLOWER PROTECTION: Pursuant to 41 U.S.C. 4712 employees of a contractor, subcontractor, or subrecipient will not be discharged, demoted, or otherwise discriminated against as reprisal for "whistleblowing."

22. EXECUTION AND DELIVERY: This Agreement may be executed in two or more counterparts, each of which shall be deemed an original but all of which together shall constitute one and the same Agreement. The counterparts of this Agreement and all Ancillary Documents may be executed and delivered by facsimile or electronic mail by any of the parties to any other party and the receiving party may rely on the receipt of such document so executed and delivered by facsimile or electronic mail as if the original had been received.

23. NOTICE: All notices, including invoices, required to be delivered to the other party pursuant to this Agreement shall be in writing and shall be sent via facsimile, with a copy sent via US mail, postage prepaid, to the parties at the addresses set forth below. Either party may send a notice to the other party, pursuant to this provision, to change the address to which notices shall be sent.

FOR NACCHO:

National Association of County and City Health Officials Attn: \_\_\_\_\_\_ [Name of Program Staff] 1201 (I) Eye Street NW 4th Fl., Washington, DC 20005 Tel. (202) \_\_\_\_\_ Fax (202) 783-1583 Email: \_\_\_\_\_@naccho.org

With a copy to: National Association of County and City Health Officials Attn: Ade Hutapea, LL.M., CFCM Lead Contracts Administrator 1201 (I) Eye Street NW 4th Fl., Washington, DC 20005 Tel. (202) 507-4272 Fax (202) 783-1583 Email: ahutapea@naccho.org

FOR CONTRACTOR:

(Name and address of Contractor's Contract Officer or Designee, including telephone and fax.)

IN WITNESS WHEREOF, the persons signing below warrant that they are duly authorized to sign for and on behalf of, the respective parties.

AGREED AND ACCEPTED AS ABOVE:

NACCHO: CONTRACTOR:

Ву:	_ By:	
Name: Jerome Chester	Name:	
Title: Chief Financial Officer	Title:	

Date: Date: \_\_\_\_\_

DUNS No.:\_\_\_\_\_

## Appendix B

#### List of Unallowable Activities and Expenditures

Recipients may use funds only for reasonable program purposes, including personnel, travel, supplies, and services. NACCHO reserves the right to request a revised cost proposal, should CDC determine applicant's proposed cost as unallowable. Restrictions that must be considered while planning the programs and writing the budget:

- Naloxone/Narcan, syringes, and pipes. Harm reduction and linkage to care activities are acceptable if they are not prohibited purchases.
- HIV/HCV/other STD/STI testing.
- Drug disposal. This includes Implementing or expanding drug disposal programs or drug take-back programs, drug drop box, drug disposal bags.
- The provision of medical/clinical care.
- Wastewater analysis, including testing vendors, sewage testing and wastewater testing.
- Recipients may not use funds for research.
- If you wish to include incentives in your project, please include them in your budget and specify the type being requested (e.g. gift cards), along with a justification form for how this is necessary to support your project's goals in the budget narrative.
- Public safety activities that do not include clear overlap/collaboration with public health partner and objectives.
- Food and beverage requests will be approved on a case-by-case basis and will require the submission of further documentation.
- Prohibition on certain telecommunications and video surveillance services or equipment (Pub. L. 115-232, section 889): Recipients and subrecipients are prohibited from obligating or expending grant funds (to include direct and indirect expenditures as well as cost share and program funds) to:
  - Procure or obtain,
  - $\circ$   $\;$  Extend or renew a contract to procure or obtain; or
  - Enter into contract (or extend or renew contract) to procure or obtain equipment, services, or systems that use covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. As described in Pub. L. 115-232, section 889, covered telecommunications equipment is telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities).
    - For the purpose of public safety, security of government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company (or any subsidiary or affiliate of such entities).
    - Telecommunications or video surveillance services provided by such entities or using such equipment. iii. Telecommunications or video surveillance equipment or services produced or provided by an entity that the Secretary

of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise, connected to the government of a covered foreign country. President's Emergency Plan for AIDS Relief (PEPFAR) funding is exempt from the prohibition under Pub. L. 115-232, section 889 until September 30, 2022. During the exemption period, PEPFAR recipients are expected to work toward implementation of the requirements

- Equipment costing over \$5,000 per individual item.
- Travel Costs Hotel, meals and incidentals generally should not exceed the Federal Travel Per Diem Rates published by the General Services Administration. There are many rules and exceptions in applying this rule. Please contact NACCHO with specific questions about these exceptions.
- Reimbursement of pre-award costs generally is not allowed unless the CDC provides written approval to the recipient.
- Other than for normal and recognized executive-legislative relationships, no funds may be used for:
  - publicity or propaganda purposes, for the preparation, distribution, or use of any material designed to support or defeat the enactment of legislation before any legislative body
  - the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, appropriations, regulation, administrative action,
  - $\circ \quad$  or Executive order proposed or pending before any legislative body

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- 3. Merrick MT, Ford DC, Ports KA, et al. (2019). Vital signs: Estimated proportion of adult health problems attributable to adverse childhood experiences and implications for prevention—25 States, 2015–2017. *Morbidity & Mortality Weekly Report*, 68(44):999.
- Gloria Huei-Jong Graf, Stanford Chihuri, Melanie Blow, Guohua Li; Adverse Childhood Experiences and Justice System Contact: A Systematic Review. Pediatrics January 2021; 147 (1): e2020021030. 10.1542/peds.2020-021030
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- 10. Harper Browne, C. (2014, September). The Strengthening Families Approach and Protective Factors Framework: Branching out and reaching deeper. Washington, DC: Center for the Study of Social Policy